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Y.N.  
3-31-00

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:	)	Art Unit: 2766
DeFreese et al.	)	
Serial No.:	)	Examiner: (Unknown)
09/475,696	)	
Filed:	)	New Docket: 191910-1301
December 30, 1999	)	Previous Docket: A-6307

For: **Mechanism and Apparatus for Encapsulation of Entitlement  
Authorization in Conditional Access System**

**PRELIMINARY AMENDMENT**

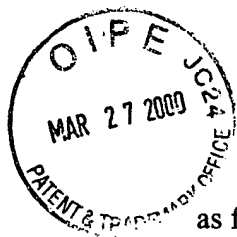
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
MAR 29 2000  
TECH CENTER 2700

Dear Sir:

It is not believed that extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to deposit account no. 20-0778.

Applicants respectfully request that the above identified Patent Application be amended as follows:



## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents**

**Box:**

**Washington, D.C. 20231**

on 3/24/00

Jeffrey R. Kuester

In re application of: DeFreese et al.

Group No.: 2766

Serial Number: 09/475,696

Examiner: (Unknown)

Filing Date: December 30, 1999

Title: **MECHANISM AND APPARATUS FOR ENCAPSULATION OF ENTITLEMENT  
AUTHORIZATION IN CONDITIONAL ACCESS SYSTEM**

Attached are the following documents for filing with the USPTO:

Postcard  
Preliminary Amendment  
Amendment Transmittal Page

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GAU 2766

In re PATENT application of: DeFreese et al.

Examiner: (Unknown)

Serial No: 09/475,696

Group No.: 2766

Filed: December 30, 1999

Docket No.: 191910-1301

Title: MECHANISM AND APPARATUS FOR ENCAPSULATION OF ENTITLEMENT  
AUTHORIZATION IN CONDITIONAL ACCESS SYSTEM



AMENDMENT TRANSMITTAL LETTER

Assistant Commissioner For Patents  
Washington, D.C. 20231

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Sir:

Transmitted herewith is an amendment in the above-identified application.

- |                                     |  |                          |                         |
|-------------------------------------|--|--------------------------|-------------------------|
| <input checked="" type="checkbox"/> | Response/Amendment   | <input type="checkbox"/> | Petition to Extend Time |
| <input type="checkbox"/>            | Fee as Calculated Below  | <input type="checkbox"/> | Check for \$            |
| <input checked="" type="checkbox"/> | No additional fee is required.                                     | <input type="checkbox"/> | Corrected Drawings      |
| <input type="checkbox"/>            | Terminal Disclaimer  | <input type="checkbox"/> | Other:                  |
| <input type="checkbox"/>            | Small Entity Statement has<br>already been filed/is filed herewith |                          |                         |

The fee has been calculated as shown below.

CLAIMS AS AMENDED FOR LARGE ENTITY					
	Claims After Amendment	Highest Prev. Paid For	Extra	Rate	Additional Fee
Total Claims	64	- 64	0	x 18.00	= \$0
Independent Claims	4	- 4	0	x 78.00	= \$0
Total Additional Fee for this Amendment					= \$0

- ☐ A check in the amount of \$\_\_\_ is enclosed.
- ☐ The Commissioner is hereby authorized to charge to our Deposit Account No. 20-0778 the amount of \$\_\_\_ for the fee identified above. A duplicate of this Amendment Transmittal Letter is included herewith.
- ☒ The Commissioner is authorized to charge any insufficiencies, and the Commissioner is hereby requested to credit any overpayments to our Deposit Account No. 20-0778.

THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.

Date: 3/24/00

Jeffrey R. Kuester; Reg. No. 34,367  
Attorney for Applicant